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2-2-02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC986 U.S. PTO
10/005531
11/02/01

In Re Application of)
BEATTY, Timothy) FOR: System and Method of Virtualized
Serial No.:) Storage
Filed:) Group
Art Unit:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
Washington, D.C. 20231

Attention: Examiner

Dear Sir:

Applicants submit herewith references of which they are aware, which they believe may be material to patentability of the invention disclosed and claimed in the above-cited application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on:

November 2, 2001
(Mailing Date)

Yvette Easton

(Typed Name)

(Signature)

November 2, 2001

(Date of Signature)

Applicants are submitting herewith copies of the references which are set forth on the attached Form PTO/SB/08A. As all relevant parts of the references are in the English language, no explanation of the references is provided herein.

This Information Disclosure Statement is submitted within three (3) months of the filing date of the above-cited application or of the date of entry into the national phase of the application or prior to the mailing date of a first Office Action thereon, whichever has occurred last, such that no fee is required.

Further, while the references provided in this Information Disclosure Statement may be material to patentability pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specifically designated as such.

Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

Respectfully submitted,

Dated: 11/2/01

By: Heidi Eisenhut
Heidi L. Eisenhut
Attorney for Applicants
Registration No.46,812

BROWN MARTIN HALLER & McCLAIN LLP
1660 Union Street
San Diego, California 92101

Telephone: (619) 238-0999
Facsimile: (619) 238-0062

Docket No.: 6944-PA01

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known			
				Application Number			
				Filing Date			
				First Named Inventor		BEATTY, Timothy	
				Group Art Unit			
				Examiner Name			
Sheet	1	of	1	Attorney Docket Number		6944-PA01	
U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
		Number	Kind Code ² (if known)				
	AA	4,467,421		WHITE, Barry B.	08-21-1984		
	AB	6,173,293 B1		THEKKATH <i>et al.</i>	01-09-2001		
	AC	5,671,439		KLEIN <i>et al.</i>	09-23-1997		
	AD	Re. 36,989		WHITE, Barry B.	12-12-2000		
	AE	5,561,786		MORSE, Peter L.	10-01-1996		
	AF	6,119,214		DIRKS, Patrick W. Penzias	09-12-2000		
	AG	5,991,542		HAN <i>et al.</i>	11-23-1999		
	AH	6,233,666 B1		MATHEWS <i>et al.</i>	05-15-2001		
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴ (if known)	Kind Code ⁵			
	AI		JP304 604 3A		TOYOHICO <i>et al.</i>	01-16-1991	
	AJ		JP 411 1029 A		YASUO, Takenaka	04-13-1992	
Examiner Signature					Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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